

# 5, B

MTS-3246US

PATENT



RECEIVED  
AUG 13 2001  
Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: K. Yamauchi et al. : Art Unit: 2661  
Serial No.: 09/824,280 : Examiner:  
Filed: April 2, 2001 :  
FOR: TRANSFER RATE CONTROLLER, :  
DECODING SYSTEM, MEDIUM, AND :  
INFORMATION AGGREGATE :

SUPPLEMENTAL PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

This Supplemental Preliminary Amendment supplements the Preliminary Amendment filed April 2, 2001, please amend the above-identified application as follows:

IN THE CLAIMS:

Please add new claims 13-18 as follows:

13. (Newly Added) A method of transferring a data stream from a reproducing device to a decoder comprising the steps of:

(a) transmitting over a transmission medium, to the decoder from the reproducing device, the data stream;

(b) receiving over the transmission medium, from the reproducing device, the data stream at a predetermined data transfer rate;

08/10/2001 EABUBAK1 00000008 09824280

01 FC:103

108.00 0P

In re application of: K. Yamauchi et al.

Serial No.: 09/824,280

Filed: April 2, 2001

For: TRANSFER RATE CONTROLLER, DECODING SYSTEM, MEDIUM,  
AND INFORMATION AGGREGATEASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)
Claims Remaining After Amendment	Highest Number Previously Paid for	Present Extra
Total * 36	Minus **30	*6
Indep * 2	Minus ***3	*0
<input type="checkbox"/> First Presentation of Multiple Dependent Claim		

## SMALL ENTITY

Rate	Addt. Fee
x \$9	\$
x \$40	\$
+\$135	\$
<b>TOTAL ADDIT. FEE</b>	<b>\$</b>

## OTHER THAN A SMALL ENTITY

OR	Rate	Addt. Fee
OR	x \$18	\$108
OR	x \$80	\$0
OR	+\$270	\$0
OR	<b>TOTAL</b>	<b>\$108</b>

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
- The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. 18-0350 the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$108 is enclosed.
- ☒ The Assistant Commissioner for Patents is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-0350. A duplicate copy of this sheet is enclosed.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR 1.17.

RATNER AND PRESTIA  
Suite 301  
One Westlakes, Berwyn  
P. O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700  
ap

Respectfully submitted,

Allan Ratner, Reg. No. 19,217

## CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 08/06/01